Countering Financial Crime Functional Map
January 2016

Key purpose:
To counteract financial fraud and financial market abuse

- **Set the strategy and organisational approach to counter financial crime**
  - FSPCFC1 Develop and gain support for your organisation’s culture, ethos and strategy for countering financial crime

- **Assess the requirements and controls for countering financial crime**
  - FSPCFC2 Assess the impact of legal and regulatory requirements relating to financial crime on your organisation
  - FSPCFC3 Develop and implement a financial crime risk assessment framework

- **Plan, implement and review policies and procedures to counter financial crime**
  - FSPCFC4 Develop and implement policies and procedures to counter financial crime
  - FSPCFC5 Review and evaluate the implementation of your organisation’s financial crime policy
  - FSPCFC6 Develop and implement risk-based controls to counter financial crime

- **Respond to financial crime activity**
  - FSPCFC7 Evaluate the effectiveness of systems and controls for countering financial crime
  - FSPCFC8 Identify potential financial crime within your organisation
  - FSPCFC9 Follow your organisation’s plan/policy in response to suspected or actual financial crime
  - FSPCFC10 Report a financial crime

- **Manage relationships in countering financial crime**
  - FSPCFC11 Gather, analyse and respond to financial crime information and intelligence
  - FSPCFC12 Develop intelligence and share information with others on financial crime prevention
  - FSPCFC13 Agree communication protocols relating to countering financial crime
  - FSPCFC14 Promote the importance of countering financial crime
  - FSPCFC15 Address enquiries relating to financial crime from those with empowered authority

- **Review information and measures to counter financial crime**
  - FSPCFC16 Compile and use management information to influence activity to counter financial crime
  - FSPCFC17 Evidence the effectiveness of staff training in countering financial crime

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- **ICAM173**
  - FSPCFC19 Evidence the effectiveness of staff training in countering financial crime