Anti-Money Laundering and Countering Terrorist Financing Functional Map
January 2016

Key purpose:
To prevent money laundering and terrorist financing

Develop a compliance culture
- FSPAML1 Develop a compliance culture within your organisation
- FSPAML2 Assist in developing the compliance culture of your organisation
- FSPAML3 Gather and communicate information about AML and CTF requirements in your organisation
- FSPAML4 Identify the implications of AML and CTF measures for the business conducted by your organisation
- FSPAML5 Assess and mitigate the AML and CTF compliance risks relevant to your organisation
- FSPAML6 Design an AML and CTF policy for your organisation
- FSPAML7 Communicate and implement your AML and CTF policy within your organisation

Research your organisation and its requirements to help inform an AML and CTF policy
- FSPAML8 Design and oversee procedural controls at your organisation to monitor ML and TF risks
- FSPAML9 Report progress in securing effective AML and CTF outcomes in your organisation
- FSPAML10 Assist senior management in ensuring that staff at your organisation comply with AML and CTF measures
- FSPAML11 Review, evaluate and identify improvements to the implementation of AML and CTF measures within your organisation
- FSPAML12 Develop and maintain an effective relationship with external bodies
- FSPAML13 Advise and guide staff in your organisation on AML and CTF

Develop and communicate an AML and CTF policy for your organisation
- FSPAML14 Develop AML and CTF reference and guidance materials for your organisation
- FSPAML15 Consider and advise on the ML and TF implications of new business strategies at your organisation
- FSPAML16 Develop and maintain an effective relationship with external bodies
- FSPAML17 Handle requests for financial information from relevant authorities

Develop, monitor and evaluate AML and CTF controls in your organisation
- FSPAML18 Develop and maintain an effective relationship with external bodies
- FSPAML19 Identify and investigate non-compliant activity within your organisation
- FSPAML20 Report suspicious financial activity to enforcement bodies

Support the staff in your organisation to comply with AML and CTF requirements
- FSPAML21 Plan and deliver AML and CTF training for your organisation
- FSPAML22 Assess and advise the AML and CTF policy for your organisation
- FSPAML23 Review, evaluate and identify improvements to the implementation of AML and CTF measures within your organisation

Maintain an effective relationship with relevant external bodies and respond to requests on consultations
- FSPAML24 Communicate and implement your AML and CTF policy within your organisation
- FSPAML25 Report progress in securing effective AML and CTF outcomes in your organisation
- FSPAML26 Develop a compliance culture within your organisation
- FSPAML27 Assist in developing the compliance culture of your organisation
- FSPAML28 Gather and communicate information about AML and CTF requirements in your organisation
- FSPAML29 Identify the implications of AML and CTF measures for the business conducted by your organisation
- FSPAML30 Assess and mitigate the AML and CTF compliance risks relevant to your organisation
- FSPAML31 Develop an AML and CTF policy for your organisation
- FSPAML32 Communicate and implement your AML and CTF policy within your organisation
- FSPAML33 Develop, monitor and evaluate AML and CTF controls in your organisation
- FSPAML34 Support the staff in your organisation to comply with AML and CTF requirements
- FSPAML35 Maintain an effective relationship with relevant external bodies and respond to requests on consultations
- FSPAML36 Identify and deal with noncompliant activity in your organisation