



International Compliance Association
Excellence through Education

ICA INTERNATIONAL ADVANCED CERTIFICATE IN COMPLIANCE AND FINANCIAL CRIME

A professional qualification awarded
with University of Manchester
Business School



AN INTRODUCTION TO THE ADVANCED CERTIFICATE IN COMPLIANCE AND FINANCIAL CRIME

As a financial services professional involved with the management of risk in your firm, your role is varied. You are likely to be responsible for the creation and implementation of a compliance strategy as well as the prevention of money laundering and fraud. Protecting your firm from financial loss and reputational damage is a difficult task. It demands up-to-date knowledge of the issues and practical, useful skills that you can apply every day.

We at the International Compliance Association understand the pressures you face and the environment in which you work. We have extensive experience of working with individuals, firms and regulatory authorities alike, helping them meet the challenges of this constantly changing landscape. We know how important it is that you have all you need in order to fulfil your role with confidence. We recognise that as the world changes, so you must develop yourself professionally, advancing your career and your capabilities.

To meet your training and education needs, we have created the ICA International Advanced Certificate in Compliance and Financial Crime. The programme is split into the core area of Compliance with subsidiary units on Anti Money Laundering and Financial Crime Prevention. It will provide you with practical skills to enable you to foster a compliance culture within your organisation. It will help you manage relationships with regulators, law enforcement agencies, senior management and colleagues. It will further your understanding of money laundering processes and terrorist financing. It will help you understand risk and thus enable you to design an effective compliance system to manage that risk. The programme is comprehensive and full of useful, practical knowledge. It is also a great way to prepare for further study at Diploma level!



“This course is relevant to the current regulatory and compliance environment. It prepares a Compliance Officer for potential issues that may arise whilst performing his/her duties.”

Lamber M. Marquis,
Tricor Services (BVI) Limited, British Virgin Islands



“This course enabled me to use more initiative in the workplace. The content was so useful in helping me perform day-to-day tasks and helped a lot when I needed to provide advice to other colleagues.”

Sarah Troy
Jersey

KEY FEATURES

- An intermediate level programme resulting in a professional qualification
- 6-9 months' duration
- Completion of one assignment and one open-book examination
- Comprehensive, detailed course materials that can be used in the workplace
- Written by experienced industry practitioners
- Extensive support and resource material
- Awarded in association with University of Manchester Business School
- Recognised by regulatory authorities worldwide

KEY BENEFITS

- Improved knowledge, developed skills
- Professional designation
- Potential for career progression
- Recognised mark of excellence
- Reduced reputation and financial risk for firms
- Enhanced workplace performance
- Stepping stone to the Diploma programmes

COURSE CONTENT



WHO THE ICA COURSE WILL BENEFIT

- Staff new to compliance
- Compliance technicians
- Staff with AML or fraud responsibilities
- Regulatory risk and compliance professionals
- All staff who require a good grounding in compliance issues
- Fraud Prevention Officers, Managers and Deputies
- Managers with responsibility for internal anti money laundering, fraud and compliance controls
- Regulatory representatives

PROGRAMME DELIVERY

- Excellent course manuals, support readings and texts
- Dedicated online support
- Attendance at two workshops (where available)
- One written assignment (approx 3,000 words in length)
- One open book examination (1 hour and 45 minutes)
- Additional workbook and online support for those studying by distance learning

This course can also be delivered in-house. There are many advantages to this including a reduction in price per person and the option to include processes that are unique to your firm in workshop discussions. For more information about in-house delivery please contact Helen at hlangton@int-comp.org.

ADMISSION REQUIREMENTS

The course is open to anyone who is interested in pursuing a career in compliance or financial crime prevention. However, the content of the programme requires students to possess:

- Sound educational background
- Good written English skills

If you would like to discuss the course and how it might fit in with your current role and career aspirations, contact the ICA (0121 362 7534) for an informal discussion with the Course Director.

PROFESSIONAL QUALIFICATION AWARD

Following completion of the ICA International Advanced Certificate in Compliance and Financial Crime you will be able to use the designation 'Int. Adv. Cert. (Comp.)'

The ICA Advanced Certificate in Compliance is awarded in association with University of Manchester Business School.

Module 1: Understanding the Regulatory Environment

- The objectives of financial services regulation
- The background to regulation
- The regulatory timeline
- Consumer/Investor Protection

Module 2: International Regulation

- Regulators and their powers
- International regulatory models
- Overview of recent International legislation

Module 3: Compliance in Practice

- Compliance structure
- The role of the Compliance Officer
- Key compliance activities and processes

Module 4: Anti Money Laundering

- The money laundering vulnerabilities of particular services and products
- Designing an effective internal reporting system
- Risk-based approach to money laundering and terrorist financing
- Terrorist financing
- Money laundering and tax evasion

Module 5: Financial Crime Prevention

- Frauds perpetrated against ordinary investors
- Financial services fraud
- Internal fraud
- Bribery and corruption
- The offence of insider dealing
- Market abuse

FEES

See our website for details.

HOW TO APPLY

Complete the enrolment form online at www.int-comp.org/enrol or contact us on ict@int-comp.com or +44 (0) 121 362 7501.

INTERNATIONAL COMPLIANCE ASSOCIATION

The ICA is a professional, awarding body with a global membership. Since it was founded in 2001, thousands of individuals have completed ICA's diploma and certificate programmes all over the world. ICA's internationally recognised qualifications are a benchmark of professionalism, competence and excellence. The ICA is the government appointed Lead Provider of Financial Industry Competency Standards, accredited training and assessment programmes in the field of compliance in Singapore. The ICA helps businesses, regulatory authorities, government agencies and clients promote best practice through education, dialogue, information exchange and detailed resource material. The ICA aims to help improve the performance of individuals, firms and the industry as a whole.



DETAILS OF OTHER QUALIFICATIONS AVAILABLE

The ICA delivers a wide range of qualifications, appropriate to the financial services sector both in the UK and overseas. For beginners and experienced practitioners alike, the ICA Certificate and Diploma qualifications increase knowledge, improve skills and enhance understanding. Certificate programmes are designed for entry-level staff new to a particular discipline. Content focuses on awareness and on the introduction of the key issues involved. They form a solid foundation for future learning. Diploma programmes are designed for more senior practitioners, those who have already amassed a level of experience. Certificate and Diploma qualifications cover the following subjects:

- Anti Money Laundering
- Compliance
- Financial Crime Prevention

THE ICA STANDARDS OF COMPETENCE

ICA qualifications are awarded in association with the University of Manchester Business School and are mapped into the UK National Occupational Standards of Competence. These standards, created by the UK Financial Skills Partnership (FSP), are in Anti Money Laundering, Compliance and Countering Financial Crime. The ICA Advanced Certificate in Compliance is listed as 'Recommended' on the FSP official Examination List. Full details can be found at www.fssc.org.uk.

Additionally, ICA qualifications are recognised by regulators and education authorities globally as benchmarks of competence.

INTERNATIONAL COMPLIANCE TRAINING

International Compliance Training is the approved training partner of the International Compliance Association. ICT is dedicated to supporting financial services practitioners as they study ICA qualifications. Their unique blended learning approach, combining high quality materials, interactive workshops and dedicated support, ensures a successful learning experience for all. The emphasis is on helping individuals succeed, develop their careers and reach their full potential. ICT has regional offices in Singapore and Dubai.



UNIVERSITY OF MANCHESTER BUSINESS SCHOOL

MBS is the UK's leading centre for business research, offering an impressive range of programmes and services to both students and businesses. We provide world-class business and management education to undergraduates, postgraduates, highly-experienced practitioners and people wishing to pursue academic careers. We compete on a global scale for the best students and staff, and continue to generate ground-breaking research that is recognised by peers and practitioners across the globe.



For more information:

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