



International Compliance Association  
*Excellence through Education*

# ICA INTERNATIONAL ADVANCED CERTIFICATE IN ANTI MONEY LAUNDERING

A professional qualification awarded in  
association with University of Manchester  
Business School



# AN INTRODUCTION TO THE ICA INTERNATIONAL ADVANCED CERTIFICATE IN ANTI MONEY LAUNDERING

Money laundering continues to hold high profile with regulators and firms alike. Non-compliance with anti money laundering requirements can cause both significant reputational damage and penalties for organisations, meaning appropriate training for staff is essential. A professional qualification from the International Compliance Association will provide certificated evidence of knowledge and skills gained. Awarded in association with University of Manchester Business School, the course is a unique education experience combining practical learning with rigorous academic assessment.

The ICA International Advanced Certificate in Anti Money Laundering is an intermediate level qualification aimed at those embarking on an anti-money laundering career or already in a junior AML role. It builds upon knowledge and skills already acquired and develops these further enabling you to become an increasingly valuable member of the AML unit. If you are working as a Team Leader or have aspirations to do so, this programme can make a real difference.

The course will cover the UK and international regulatory environment and focus on the role of the Money Laundering Reporting Officer in practical terms. You will learn how to manage the AML risks involved in emerging industry sectors such as mobile financial services and e-payments. All aspects of Know Your Customer, Customer Due Diligence - simplified and enhanced - are covered. Sanctions, terrorist financing, and how to manage suspicious activity reports are core elements of the programme.

By the end of your studying you will be able to demonstrate a thorough understanding of key issues regarding AML, and the nature or risk. You will be able to identify causes and the consequences for your business. You will be able to communicate clearly with all stakeholders and make recommendations on cases. And its a great programme on which to base further study to become an AML guru!

## ADMISSION REQUIREMENTS

The ICA International Advanced Certificate in Anti Money Laundering is open to anyone who is interested in pursuing a career in anti-money laundering. However, the content of the programme requires students to possess:

- Sound educational background
- Good written English skills

If you would like to discuss the Advanced Certificate and how it might fit in with your current role and career aspirations, call +44 (0) 121 362 7501 for an informal discussion with the Course Director or email [ict@int-comp.com](mailto:ict@int-comp.com).



# KEY FEATURES

- An intermediate level programme resulting in a professional qualification
- Delivers useful, practical skills that can make a real difference to you and your responsibilities
- 6 months' duration
- Completion of one assignment and one open-book examination
- Comprehensive detailed course materials, that can be used in the workplace
- Written and delivered by experienced industry practitioners
- Extensive support and resource material
- Awarded in association with University of Manchester Business School



# KEY BENEFITS

- Improved knowledge, developed skills
- Professional designation
- Potential for career progression
- Recognised mark of excellence
- Reduced reputational and financial risk for firms
- Enhances workplace performance
- Stepping stone to the Diploma programmes

# PROGRAMME DELIVERY

- Excellent course manuals, support readings and texts
- Dedicated online support
- Attendance at two full day workshops (where offered)
- One written assignment (approx 3,000 words in length)
- One open-book examination (1 hour and 45 minutes)
- Additional workbook and online support for those studying by distance learning

# WHO THE COURSE WILL BENEFIT

- New/recently appointed MLROs and deputies
- New/recently appointed financial crime and Risk Officers
- Compliance officers
- Internal auditors assuming responsibilities for money laundering/financial crime issues
- All individuals engaged in financial services, who aspire to a career in anti-money laundering

This course can also be delivered in-house. There are many advantages to this including a reduction in price per person and the option to include processes that are unique to your firm in workshop discussions. For more information about in-house delivery please contact Helen at [hlangton@int-comp.org](mailto:hlangton@int-comp.org).

# COURSE CONTENT

## Module 1: The International Context

- The Role of International Bodies in AML and CTF
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- Financial Sanctions Regimes
- Key Initiatives and their Relevance for AML Practitioners
- Management of High Money Laundering Risk Situations

## Module 2: Key International Legal and Regulatory Frameworks

- Law enforcement, Government, Regulators and their Powers
- Primary Law

## Module 3: AML in Practice

- AML Structures
- The Role of the MLRO
- Role of Senior Management
- Managing Relationships with LEAs and Regulators
- Implementing the Risk-Based Approach

## Module 4: Customer Due Diligence (CDD)

- What is CDD?
- CDD and the Risk Based Approach
- More Detailed CDD Issues
- Comparison of International Regulators' Approach

## Module 5: Managing the Risk of Money Laundering and Terrorist Financing

- How is Money Laundered?
- The Money Laundering Vulnerabilities of Particular Services and Products
- Corporate Service Providers
- Trusts and Foundations
- Alternative Remittance
- Terrorist Financing
- Money Laundering and Tax Evasion

## Module 6: Suspicious Activity Reporting

- Evaluating Suspicious Activity
- Automated Transaction Monitoring
- The SAR Investigation Process
- Post-Reporting Considerations
- Constructive Trust

## Module 7: Emerging Industry Sectors

- Mobile Financial Services
- Betting and Gaming
- E-Payments

## FEES

Please see ICA or approved training provider website for details. Prices for in-house delivery vary depending on the number undertaking the programme. Please contact us for a quotation.

## PROFESSIONAL QUALIFICATION AWARD

Following completion of the ICA International Advanced Certificate in Anti-Money Laundering you will be able to use the designation 'Int. Adv. Cert. (AML)'

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## HOW TO APPLY

Complete the enrolment form online at [www.int-comp.org/enrol](http://www.int-comp.org/enrol) or contact us on [ict@int-comp.com](mailto:ict@int-comp.com) or +44 (0) 121 362 7501.

## INTERNATIONAL COMPLIANCE ASSOCIATION

The ICA is a professional, awarding body with a global membership. Since it was founded in 2001, thousands of individuals have completed ICA's



diploma and certificate programmes all over the world. ICA's internationally recognised qualifications are a benchmark of professionalism, competence and excellence. The ICA is the government appointed Lead Provider of Financial Industry Competency Standards, accredited training and assessment programmes in the field of compliance in Singapore. The ICA helps businesses, regulatory authorities, government agencies and clients promote best practice through education, dialogue, information exchange and detailed resource material. The ICA aims to help improve the performance of individuals, firms and the industry as a whole.

## DETAILS OF OTHER QUALIFICATIONS AVAILABLE

The ICA delivers a wide range of qualifications, appropriate to the financial services sector both in the UK and overseas. For beginners and experienced practitioners alike, the ICA Certificate and Diploma qualifications increase knowledge, improve skills and enhance understanding. Certificate programmes are designed for entry-level staff new to a particular discipline. Content focuses on awareness and on the introduction of the key issues involved. They form a solid foundation for future learning. Diploma programmes are designed for more senior practitioners, those who have already amassed a level of experience. Certificate and Diploma qualifications cover the following subjects:

- Anti Money Laundering
- Compliance
- Financial Crime Prevention

## THE ICA STANDARDS OF COMPETENCE

ICA qualifications are awarded in association with the University of Manchester Business School and are mapped into The National Occupational Standards of Competence. These standards, created by the UK Financial Skills Partnership, (FSP), are in Anti Money Laundering, Compliance and Countering Financial Crime. All ICA Diplomas are recognised by the FSP at the highest level. They are classified as 'recommended' on the FSP official Examination List. Full details can be found at [www.fssc.org.uk](http://www.fssc.org.uk).

Additionally, ICA qualifications are recognised by regulators and education authorities globally as a benchmark of competence and excellence.

## INTERNATIONAL COMPLIANCE TRAINING

International Compliance Training is the approved training partner of the International Compliance Association. ICT is dedicated to supporting financial services practitioners as they study ICA qualifications. Their unique blended learning approach, combining high quality materials, interactive workshops and dedicated support, ensures a successful learning experience for all. The emphasis is on helping individuals succeed, develop their careers and reach their full potential. ICT has regional offices in Singapore and Dubai.



## UNIVERSITY OF MANCHESTER BUSINESS SCHOOL

MBS is the UK's leading centre for business research, offering an impressive range of programmes and services to both students and businesses. We provide world-class business and management education to undergraduates, postgraduates, highly-experienced practitioners and people wishing to pursue academic careers. We compete on a global scale for the best students and staff, and continue to generate ground-breaking research that is recognised by peers and practitioners across the globe.





## International Compliance Association

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